



## Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or wendlosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231 on August 2, 2000.

Thomas F. Peterson

Name

Momas Melan

DOCKET: CU-2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AUG 7 2000

Group 2700

In re application of: Ian Charles OGILVY

Serial No.: 09/381,143

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Group Art Unit: 2735

Filed: October 22, 1999

Examiner:

For: METHOD AND APPARATUS FOR CONTROLLING COMMUNICATIONS

THE ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date:

Reg. No. 24790

Signature of Attorney Thomas F. Peterson

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idsb4oa

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## INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

The Statement is made on the basis of the information:

supplied by the inventor(s);

Supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or in the attorney's file.

Respectfully submitted,

Date: 1/119.2, 2000

Reg. No. 24790

Signature of Attorney

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SERIAL NO. Group 2700
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<b>PTO-1449</b> (Modified)

ATTY. DOCKET NO. FORM PTO-1449 CU-2003

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

09/381,143

Ian Charles OGILVY FILING DATE October 22, 1999

GROUP 2735

(37 CFR 1.98(b))

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	 PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	 5	5	9	0	2	8	1	12/31/96	Stevens			
	5	4	7	9	6	4	3	12/26/95	Bhaskar			
							<u> </u>					

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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EXAMINER INITIAL		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES   NO	
		0	6	3	4	7	1	8	01/18/95	EP				
		2	2	0	0	0	8	8	09/08/88	AU				
		9	3	0	5	5	9	9	03/18/93	WO				
		9	4	1	0	6	2	8	05/11/94	WO				
		0	4	5	6	2	4	9	11/13/91	EP				
1		9	2	1	2	4	8	0	07/23/92	WO				

OTHER DOCUMENTS	(Including	Author	Title	Data	Place of	nublication)
OTHER DOCUMENTS	(IIICIUUIII)	Author,	i iuc,	Date,	l lace of	publication,

		Hamilton, "Java and the Shift to Net-Centric Computing", P-31-39;
		Aug. 1996, IEEE Computer
EXAMIN	ER	DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not In conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)